# A Realization of Index Generation Functions Using Multiple IGUs 

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#### Abstract

This paper presents a method to realize index generation functions using multiple Index Generation Units (IGUs). The architecture implements index generation functions more efficiently than a single IGU when the number of registered vectors is very large. This paper also proves that independent linear transformations are necessary in IGUs for efficient realization. Experimental results confirm this statement. Index Terms-Random function, CAM, content-addressable memory, linear decomposition, linear transformation, statistical analysis


## I. Introduction

One of the important tasks in information processing is to find desired data from a large data set. For example, consider a network router, where IP addresses are represented by 32 bits. Assume that a network router stores 40,000 of the $2^{32}$ possible combinations of the inputs, and checks if an input pattern matches a stored pattern. A content addressable memory (CAM) [4] is a device that performs this operation directly. CAMs are also used for virus scanning and spam-mail filters.

An index generation function [10] describes the operation of a CAM. For example, an index generation function can be represented by a registered vector table such as shown in Table 2.1. It can also be implemented by an FPGA [7] , or a combination of memories and logic. Index generation functions are used in address tables in the Internet, terminal access controllers for local area networks, databases, memory patch circuits, dictionaries, password lists, etc.[10].

An efficient method to implement an index generation function is presented in [10]. It uses a module called IGU (Index Generation Unit). Since an IGU uses ordinary memory and a small amount of logic, the cost and the power dissipation are much lower than typical CAM-based implementations.

In this paper, we show an efficient method to store many patterns using multiple IGUs. Statistical analysis is used to estimate the size of of the IGUs. The rest of the paper is organized as follows: Section II defines the index generation function; Section III shows a method to reduce the number of variables of the incompletely specified index generation functions; Section IV introduces an IGU, the hardware to implement index generation functions; Section V shows a method to estimate the number of vectors realized by an IGU; Section VI shows a method to implement index generation function using four IGUs, which is more efficient than a single IGU realization; Section VII shows that independent linear transformations are essential for an efficient implementation

TABLE 2.1
Registered vector table.

| Vector |  |  |  | Index |
| :---: | :---: | :---: | :---: | :---: |
| $x_{1}$ | $x_{2}$ | $x_{3}$ | $x_{4}$ |  |
| 1 | 0 | 0 | 0 | $\mathbf{1}$ |
| 0 | 1 | 0 | 0 | $\mathbf{2}$ |
| 0 | 0 | 1 | 0 | $\mathbf{3}$ |
| 1 | 1 | 0 | 1 | $\mathbf{4}$ |

of the functions; Section VIII shows the experimental results; and Section IX concludes the paper.

## II. Index Generation Function

In this part, we introduce index generation functions [10], [11], [13].

Definition 2.1: Consider a set of $k$ different binary vectors of $n$ bits. These vectors are registered vectors. For each registered vector, assign a unique integer from 1 to $k$. A registered vector table shows the index of each registered vector. An incompletely specified index generation function is a one-to-one mapping $D \rightarrow\{1,2, \ldots, k\}$, where $D \subseteq\{0,1\}^{n}$, and $|D|=k$. Since the indices are non-binary, an index generation function is multiple-valued. It produces the corresponding index if the input matches a registered vector. $k$, the weight of the index generation function, is usually much smaller than $2^{n}$, the total number of possible input combinations.
Example 2.1: Table 2.1 shows a registered vector table for a 4-variable index generation function with weight $k=4$.

## III. Number of Variables to Represent an Incompletely Specified Index Generation Function

An incompletely specified index generation function $F$ can often be represented with fewer variables than the original function, when don't care values are properly replaced by 0 or some index [1], [2], [6], [8].

Theorem 3.1: Assume that an incompletely specified function $F$ is represented by a decomposition chart [5]. If each column of the decomposition chart has at most one care element, then the function can be represented by only column variables.

Example 3.1: Consider the decomposition chart in Fig. 3.1. $x_{1}$ and $x_{2}$ specify columns, while $x_{3}$ and $x_{4}$ specify rows. Also, blank cells denote don't cares. In Fig. 3.1, each column has at most one care element. Thus, this function can be represented with only the column variables $x_{1}$ and $x_{2}$ : $F=1 \cdot x_{1} \bar{x}_{2} \vee 2 \cdot \bar{x}_{1} x_{2} \vee 3 \cdot \bar{x}_{1} \bar{x}_{2} \vee 4 \cdot x_{1} x_{2}$.

As for an upper bound on the number of variables, we have the following:


Fig. 3.1. 4-variable index generation function.


Fig. 4.1. Index generation unit (IGU).
Conjecture 3.1: [10], [11], [13] When the number of the variables $n$ is sufficiently large, most incompletely specified index generation functions with weight $k(\geq 7)$ can be represented by $p=2\left\lceil\log _{2}(k+1)\right\rceil-3$ variables.

For an incompletely specified function $F$, we realize a circuit such that $F\left(x_{1}, x_{2}, \ldots, x_{n}\right)=0$ if $\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ is a non-registered vector.

## IV. Index Generation Unit (IGU)

In this section, we show an efficient method to implement an index generation function. With this method, the number of variables to the memory can be reduced. Fig. 4.1 shows the Index Generation Unit (IGU). The linear circuit has $n$ inputs and $p$ outputs, where $p<n$. It is used to reduce the number of inputs to the main memory. The set of inputs to the linear circuit is partitioned into $X=\left(X_{1}, X_{2}\right)$, and the output is $Y_{1}=\left(y_{1}, y_{2}, \ldots, y_{p}\right)$.


Fig. 4.2. Single-input linear circuit.


Fig. 4.3. Double-input linear circuit.

We consider two types of linear circuits. The first type is the single-input linear circuit shown in Fig. 4.2. It produces a function $y_{j}=x_{\pi(j)}$, where $\pi$ denotes a permutation on $n$ elements. It consists of $p$ multiplexers and $p$ registers, and selects $p$ variables from $n$ input variables. The multiplexers' data inputs are $x_{1}, x_{2}, \ldots, x_{n}$. Registers specify which variables are selected by the multiplexer.

The second type of the circuits is the double-input linear circuit shown in Fig. 4.3. It performs a linear transformation $y_{i}=x_{i} \oplus x_{j}$ or $y_{i}=x_{\pi(i)}$, where $x_{i} \in X_{1}$ and $x_{j} \in X_{2}$. It uses a pair of multiplexers for each variable $y_{i}$. The upper multiplexers have the inputs $x_{1}, x_{2}, \ldots, x_{n}$. The register with $\left\lceil\log _{2} n\right\rceil$ bits specifies the variable to select by the multiplexer. The lower multiplexers have the inputs $x_{1}, x_{2}, \ldots, x_{n}$, except for $x_{i}$. For the $i$-th input, the constant input 0 is connected instead of $x_{i}$. By setting $y_{i}=x_{i} \oplus 0$, we can implement $y_{i}=x_{i}$. Note that both types of linear circuits produce a special class of linear functions. The main memory has $p$ inputs and $q=\left\lceil\log _{2}(k+1)\right\rceil$ outputs. The main memory produces correct indices for registered vectors. However, it may produce incorrect indices for non-registered vectors, because the number of input variables is reduced to $p$. In an IGU, if the input vector is non-registered, then it produces 0 outputs. To check whether the main memory produces the correct index or not, we use the AUX memory. The AUX memory has $q$ inputs and $(n-p)$ outputs: It stores the $X_{2}$ part of the registered vectors for each index. The comparator checks if the inputs are the same as the registered vector or not. If they are the same, the main memory produces the correct index. Otherwise, the main memory produces a wrong index, and the input vector is non-registered. Thus, the output AND gates produce $00 \ldots 0$, showing that the input vector is nonregistered. Note that the main memory produces the correct indices only for the registered vectors.

Theorem 4.1: Consider the IGU in Fig. 4.1. Assume that it realizes the index generation function $F\left(X_{1}, X_{2}\right)$, where $X_{1}=\left(x_{1}, x_{2}, \ldots, x_{p}\right)$ and $X_{2}=\left(x_{p+1}, x_{p+2}, \ldots, x_{n}\right)$. Also, assume that $Y_{1}=\left(y_{1}, y_{2}, \ldots, y_{p}\right)$, where $y_{i}=x_{i} \oplus x_{j}$ for $j \in\{p+1, p+2, \ldots, n\}$, or $y_{i}=x_{i}$, are applied to the input to the main memory. Then, $F$ can be realized by the circuit where the AUX memory stores only the values for $X_{2}$.

## V. Number of Vectors Realized by an IGU

In this section, we derive the expected number of registered vectors realized by an IGU [10].

Lemma 5.1: When $0<\alpha \ll 1,1-\alpha$ can be approximated by $e^{-\alpha}$.

Lemma 5.2: Let $F(X)$ be a uniformly distributed random index generation function of $n$ variables with weight $k$, where $k \ll 2^{n}$. Consider a decomposition chart [5], where $p$ is the number of variables labelling the columns. Then, the probability that a column of the decomposition chart has allzero elements is approximately $e^{-\xi}$, where $\xi=\frac{k}{2^{p}}$.

Theorem 5.1: Consider a set of uniformly distributed index generation functions $F\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ with weight $k$. Consider an IGU whose inputs to the main memory are $x_{1}, x_{2}, \ldots$,
and $x_{p}$. Then, the expected number of registered vectors of $F$ that can be realized by the IGU is $2^{p}\left(1-e^{-\xi}\right)$, where $\xi=\frac{k}{2^{p}}$.

## VI. Realization using Four IGUs

In an IGU, the main memory has $p$ inputs and $q=\left\lceil\log _{2}(k+\right.$ $1)\rceil$ outputs, while the AUX memory has $q$ inputs and ( $n-$ $p$ ) outputs. Thus, the total amount of memory for an IGU is $q 2^{p}+(n-p) 2^{q}$.

Conjecture 3.1 shows that to implement an index generation function with weight $k$ by an IGU, the number of inputs to the main memory is $p \simeq 2 \log _{2} k-3$. Also, note that $q \simeq \log _{2} k$ and $n \ll k$. Thus, the size of the memory is $O\left(k^{2} \log k\right)$.

This shows that, when $k$ is large, a single IGU realization of an index generation function is inefficient.

Example 6.1: Let $k=2^{20}-1$. Then, by Conjecture 3.1, we have $p=2\left\lceil\log _{2}(k+1)\right\rceil-3=37$. Thus, the size of the main memory in a single IGU realization is $q 2^{p}=20 \times 2^{37}=$ $2.75 \times 10^{12}$ bits. Thus, we need a more efficient method.

To reduce the total amount of memory, we partition the registered vectors into $m$ groups, and realize each group independently [3], [9]. Fig. 6.1 shows a network using four IGUs. This architecture is called a 4IGU[9]. In this case, we should use independent linear transformations for different IGUs. The importance of the linear transformations will be discussed in Section VII.

Next, we show that index generation functions can be realized with a 4IGU. This is more efficient than a single IGU realization when $k$ is large.

Theorem 6.1: Consider an index generation function with weight $k$. Then, more than $99.9 \%$ of the registered vectors can be realized by a 4IGU, where the number of input variables to the main memory for each IGU is $p=\left\lceil\log _{2}((k+1))\right\rceil$.
(Proof) Let $k_{1}=k$. We assume that, for each IGU, the distribution of the vectors is uniform.

1) $I G U_{1}$ : Let $\xi_{1}=\frac{k_{1}}{2^{p}}$.

The number of realized vectors is $2^{p}\left(1-e^{-\xi_{1}}\right)$.
The number of remaining vectors is

$$
k_{2}=k_{1}-2^{p}\left(1-e^{-\xi_{1}}\right)=k_{1}+2^{p}\left(e^{-\xi_{1}}-1\right)
$$

2) $I G U_{2}$ : Let $\xi_{2}=\frac{k_{2}}{2^{p}}=\frac{k_{1}}{2^{p}}+\left(e^{-\xi_{1}}-1\right)$.

The number of realized vectors is $2^{p}\left(1-e^{-\xi_{2}}\right)$.
The number of remaining vectors is

$$
\begin{aligned}
k_{3} & =k_{1}-2^{p}\left(1-e^{-\xi_{1}}\right)-2^{p}\left(1-e^{-\xi_{2}}\right) \\
& =k_{1}+2^{p}\left(e^{-\xi_{1}}+e^{-\xi_{2}}-2\right)
\end{aligned}
$$

3) $I G U_{3}$ : Let $\xi_{3}=\frac{k_{3}}{2^{p}}=\frac{k}{2^{p}}+\left(e^{-\xi_{1}}+e^{-\xi_{2}}-2\right)$.

The number of realized vectors is $2^{p}\left(1-e^{-\xi_{3}}\right)$. The number of remaining vectors is

$$
\begin{aligned}
k_{4} & =k_{1}+2^{p}\left(e^{-\xi_{1}}+e^{-\xi_{2}}-2\right)-2^{p}\left(1-e^{-\xi_{3}}\right) \\
& =k_{1}+2^{p}\left(e^{-\xi_{1}}+e^{-\xi_{2}}+e^{-\xi_{3}}-3\right)
\end{aligned}
$$

4) $I G U_{4}$ : Let $\xi_{4}=\frac{k_{1}}{2^{p}}+\left(e^{-\xi_{1}}+e^{-\xi_{2}}+e^{-\xi_{3}}-3\right)$.

The number of realized vectors is $2^{p}\left(1-e^{-\xi_{4}}\right)$.


Fig. 6.1. Realization of an index generation function by 4IGU.
The number of remaining vectors is

$$
\begin{aligned}
k_{5} & =k_{1}+2^{p}\left(e^{-\xi_{1}}+e^{-\xi_{2}}+e^{-\xi_{3}}-3\right)-2^{p}\left(1-e^{-\xi_{3}}\right) \\
& =k_{1}+2^{p}\left(e^{-\xi_{1}}+e^{-\xi_{2}}+e^{-\xi_{3}}+e^{-\xi_{4}}-4\right)
\end{aligned}
$$

When $k_{1}=2^{p}$, the fraction of the original vectors that remain is about $1.6 \times 10^{-6}$.

Note that, in the proof, we assumed that IGUs have independent linear transformations, so that the distribution of the vectors are uniform.

Example 6.2: Consider an index generation function with weight $k=2^{20}-1=1048575$. Let us realize the function by the 4IGU shown in Fig. 6.1. Suppose that the number of inputs to the main memory in each IGU is $p=20$. We assume that for each IGU, the distribution of the vectors is uniform.

1) $I G U_{1}$ : Let $\xi_{1}=\frac{k_{1}}{2^{p}}=\frac{1,048,575}{2^{20}}=0.9999990$. It realizes $2^{p}\left(1-e^{-\xi_{1}}\right)=1048576 \times 0.6321203 \simeq 662826$ registered vectors. The number of remaining vectors is $k_{2}=385749$.
2) $I G U_{2}$ : Let $\xi_{2}=\frac{k_{2}}{2^{p}}=\frac{385749}{2^{20}}=0.3678789$. It realizes $2^{p}\left(1-e^{-\xi_{2}}\right)=1048576 \times 0.3077990 \simeq 322750$ registered vectors. The number of remaining vectors is $k_{3}=62999$.
3) $I G U_{3}$ : Let $\xi_{3}=\frac{k_{3}}{2^{p}}=\frac{62999}{2^{20}}=0.208374$. It realizes $2^{p}\left(1-e^{-\xi_{3}}\right) \stackrel{1048576 \times 0.0583113 \simeq 61143}{ }$ registered vectors. The number of remaining vectors is $k_{4}=1856$.
4) $I G U_{4}$ : Let $\xi_{4}=\frac{k_{4}}{2^{p}}=\frac{1856}{2^{20}}=0.0202942$. It realizes $2^{p}\left(1-e^{-\xi_{4}}\right)=1048576 \times 0.0017685 \simeq 1854$ registered vectors. The number of remaining vectors is only $k_{5}=$ 2.

Note that, in a 4IGU, the main memory of each IGU has $p$ inputs and $p$ outputs, while the AUX memory has $p$ inputs and $(n-p)$ outputs. Thus, the total amount of memory for each IGU is

$$
p 2^{p}+(n-p) 2^{p}=n 2^{p} .
$$

Then, the total memory for the 4 IGU is $4 n 2^{p}$. Thus, when $n=40$ and $p=20$, the 4 IGU requires $4 n 2^{p}=4 \times 40 \times 2^{20}=$ $167.7 \times 10^{6}$ bits. This is more efficient than the single IGU realization in Example 6.1, which requires $2.75 \times 10^{12}$ bits.

Definition 6.1: Let the linear circuit realize the $p$ compound variables:

$$
\begin{aligned}
y_{1}= & a_{1,1} x_{1} \oplus a_{1,2} x_{2} \oplus \cdots \oplus a_{1, n} x_{n} \\
y_{2}= & a_{2,1} x_{1} \oplus a_{2,2} x_{2} \oplus \cdots \oplus a_{2, n} x_{n} \\
& \cdots \ldots \\
y_{p}= & a_{p, 1} x_{1} \oplus a_{p, 2} x_{2} \oplus \cdots \oplus a_{p, n} x_{n}
\end{aligned}
$$

Then, the transformation matrix is

$$
A=\left[\begin{array}{cccc}
a_{1,1} & a_{1,2} & \ldots & a_{1, n} \\
a_{2,1} & a_{2,2} & \ldots & a_{2, n} \\
\vdots & \vdots & \ddots & \vdots \\
a_{p, 1} & a_{p, 2} & \ldots & a_{p, n}
\end{array}\right]
$$

Definition 6.2: Let $A$ and $B$ be two transformation matrices of $p \times n$. The rank of a matrix $A$ is the number of linearly independent row vectors, and denoted by $\operatorname{rank}(A)$. Matrix $B$ depends on $A$ if

$$
\operatorname{rank}(A)=\operatorname{rank}\left[\begin{array}{l}
A \\
B
\end{array}\right] .
$$

Otherwise, $B$ is independent of $A$.
Example 6.3: Consider three matrices:
$A=\left[\begin{array}{llll}1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0\end{array}\right], B=\left[\begin{array}{llll}1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0\end{array}\right]$, $C=\left[\begin{array}{llll}1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0\end{array}\right]$.
Since, $\operatorname{rank}(A)=\operatorname{rank}\left[\begin{array}{l}A \\ B\end{array}\right]=2<\operatorname{rank}\left[\begin{array}{l}A \\ C\end{array}\right]=3, B$ depends on $A$, while $C$ is independent of $A$.

Theorem 6.2: Consider two decomposition charts for an index generation function. Assume that in the first chart, the column variables are $Y=\left(y_{1}, y_{2}, \ldots, y_{p}\right)$, while in the second chart, the column variables are $Z=\left(z_{1}, z_{2}, \ldots, z_{p}\right)$. Also assume that the row variables are the same. If two transformation matrices for $Y$ and $Z$ are dependent each other, then one decomposition chart is obtained from the other by permuting the columns of the other chart. Thus, the numbers of variables to represent two functions that corresponds to these two decomposition charts are the same.

Example 6.4: Consider the function $f_{1}\left(x_{1}, x_{2}, x_{3}, x_{4}\right)$ in Fig. 3.1, where $X_{1}=\left(x_{1}, x_{2}\right)$ are the column variables and $X_{2}=\left(x_{3}, x_{4}\right)$ are the row variables. Let $Y_{1}=\left(y_{1}, y_{2}\right)$, where $y_{1}=x_{1}$ and $y_{2}=x_{1} \oplus x_{2}$. Consider the decomposition chart, where $Y_{1}=\left(y_{1}, y_{2}\right)$ are column variables. Fig. 6.2 (left) is the corresponding chart, and let $f_{2}\left(y_{1}, y_{2}, x_{3}, x_{4}\right)$ be the function. Note that columns for $y_{1}=1$ are permuted. Thus, the numbers of variables to represent two functions $f_{1}\left(x_{1}, x_{2}, x_{3}, x_{4}\right)$ and $f_{2}\left(y_{1}, y_{2}, x_{3}, x_{4}\right)$ are the same, and both are two.

Next, consider the decomposition chart, where $Z_{1}=$ $\left(z_{1}, z_{2}\right), z_{1}=x_{1}$ and $z_{2}=x_{2} \oplus x_{3}$, are column variables. Fig. 6.2 (right) is the corresponding chart, and let $f_{3}\left(z_{1}, z_{2}, x_{3}, x_{4}\right)$ be the function. Compared with Fig. 3.1, the element 3 is moved to the right in Fig. 6.2 (right). The number of variables to represent $f_{3}\left(z_{1}, z_{2}, x_{3}, x_{4}\right)$ is different from that of $f_{1}\left(x_{1}, x_{2}, x_{3}, x_{4}\right)$. Note that $f_{1}\left(x_{1}, x_{2}, x_{3}, x_{4}\right)$


Fig. 6.2. $\quad f_{2}\left(y_{1}, y_{2}, x_{3}, x_{4}\right)$ and $f_{3}\left(z_{1}, z_{2}, x_{3}, x_{4}\right)$
corresponds to the matrix $A, f_{2}\left(y_{1}, y_{2}, x_{3}, x_{4}\right)$ corresponds to the matrix $B$, and $f_{3}\left(z_{1}, z_{2}, x_{3}, x_{4}\right)$ corresponds to the matrix $C$, in Example 6.3.

## VII. Selection of Linear Transformations

In the previous sections, we assume that IGUs have independent linear transformations. However, when the linear transformations are the same for all the IGUs, the number of registered vectors realized by IGUs will be decreased. In this part, we will prove this using statistical analysis. First, we illustrate the design method for a 4IGU.

Example 7.1: Consider a realization of an index generation function shown in Fig. 7.1 by a 4IGU. It is a random function of 6 variables. Blank entries denote 0 's. Note that the column variables are $X_{1}=\left(x_{1}, x_{2}, x_{3}\right)$, while the row variables are $X_{2}=\left(x_{4}, x_{5}, x_{6}\right)$. Assume that the column variables are used for the main memories. The number of registered vectors is $k=20$. The registered vectors are divided into four subsets, and realized separately as follows:

1) IGU1 realizes the mapping of vectors to index values $\mathbf{1}$, $\mathbf{1 8}, \mathbf{2}, 20,7,10,1$, and 17 (topmost registered vectors in boldface numbers).
2) IGU2 realizes the mapping of vectors to index values $16,11,15,14,13,6$, and 3 (vectors in italic numbers).
3) IGU3 realizes the mapping of vectors to index values $\underline{4}$, $\underline{12,19}$, and $\underline{9}$ (vectors in underlined numbers).
4) IGU4 realizes the mapping of vectors to index values 5 and 8.
When $X_{1}=\left(x_{1}, x_{2}, x_{3}\right)$ are used for the main memories, four IGUs are necessary to implement the function.

|  |  |  | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | $x_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | $x_{2}$ |
| 0 | 0 | 0 |  | 1 | 0 | 1 | 0 | 1 | 0 | 1 | $x_{1}$ |
| 0 | 0 | 1 |  |  |  |  | $\mathbf{2 0}$ | $\mathbf{1 4}$ | $\mathbf{1 0}$ | $\mathbf{1}$ |  |
| 0 | 1 | 0 | $\mathbf{1}$ |  |  | 15 |  | 13 |  |  |  |
| 0 | 1 | 1 |  | $\mathbf{1 8}$ |  |  |  |  |  |  |  |
| 1 | 0 | 0 | 16 |  |  |  |  |  |  | $\mathbf{1 7}$ |  |
| 1 | 0 | 1 |  |  |  |  |  |  | $\underline{19}$ | 3 |  |
| 1 | 1 | 0 |  |  |  |  | $\underline{12}$ |  |  | $\underline{9}$ |  |
| 1 | 1 | 1 | $\underline{4}$ | 11 | $\mathbf{2}$ |  | 5 |  |  | 8 |  |
| $x_{6}$ | $x_{5}$ | $x_{4}$ |  |  |  |  |  |  |  |  |  |

Fig. 7.1. Decomposition chart for $F\left(X_{1}, X_{2}\right)$.

Theorem 7.1: Let $k$ be the number of registered vectors, and $p$ be the number of inputs to the main memory. Then, the expected number of vectors realized by a 4IGU using the same linear transformations is

$$
2^{p}\left[4-e^{-\beta}\left(4+3 \beta+\beta^{2}+\frac{1}{6} \beta^{3}\right)\right]
$$

where $\beta=\frac{k}{2^{p}}$.
(Proof) Consider the decomposition chart of a random index generation function. Let $p$ be the number of inputs to the main memory. Note that the number of non-zero elements in the decomposition chart correspond to that of the distinct balls in distinct $2^{p}$ bins. Assume that $k$ balls are randomly thrown into $N_{1}=2^{p}$ bins. Also assume that $k$ and $N_{1}$ are large. Let $\alpha=\frac{1}{N_{1}}$. Then, $\alpha k=\beta$.
No Ball: The probability that a certain bin has no ball after one throw is

$$
\frac{N_{1}-1}{N_{1}}=1-\alpha .
$$

The probability that a certain bin has no ball after $k$ throws:

$$
P_{0}=(1-\alpha)^{k} \simeq e^{-\alpha k}=e^{-\beta},
$$

because each throw is an independent event.
One Ball: The probability that a certain bin has one ball after one throw is $\alpha$. The probability that a certain bin has exactly one ball after $k$ throws:

$$
\begin{aligned}
P_{1} & =\binom{k}{1} \alpha(1-\alpha)^{k-1} \\
& =k \alpha(1-\alpha)^{k-1} \\
& \simeq \beta e^{-\alpha(k-1)} \simeq \beta e^{-\beta} .
\end{aligned}
$$

Two Balls: The probability that a certain bin has two balls after two throws is $\alpha^{2}$. The probability that a certain bin has exactly two balls after $k$ throws:

$$
\begin{aligned}
P_{2} & =\binom{k}{2} \alpha^{2}(1-\alpha)^{k-2} \\
& \simeq \frac{1}{2} \beta^{2} e^{-\alpha(k-2)} \simeq \frac{1}{2} \beta^{2} e^{-\beta}
\end{aligned}
$$

Three Balls: The probability that a certain bin has three balls after three throws is $\alpha^{3}$. The probability that a certain bin has just three balls after $k$ throws:

$$
\begin{aligned}
P_{3} & =\binom{k}{3} \alpha^{3}(1-\alpha)^{k-3} \\
& =\frac{k(k-1)(k-2)}{3!} \alpha^{3}(1-\alpha)^{k-3} \\
& \simeq \frac{1}{3!} \beta^{3}(1-\alpha)^{k-3} \simeq \frac{1}{3!} \beta^{3} e^{-\beta}
\end{aligned}
$$

In this case, most of the vectors can be realized by a 4 IGU as follows:

1) IGU1 stores one element from each of the columns that have at least one element. It stores $2^{p}\left(1-P_{0}\right)$ vectors, on the average.
2) IGU2 stores one element from each of the columns that have two or more elements. It stores $2^{p}\left[1-\left(P_{0}+P_{1}\right)\right]$ vectors, on the average.
3) IGU3 stores one element from each of the columns that have three or more elements. It stores $2^{p}\left[1-\left(P_{0}+P_{1}+\right.\right.$ $\left.\left.P_{2}\right)\right]$ vectors, on the average.
4) IGU4 stores one element from each of the columns that have four or more elements. It stores $2^{p}\left[1-\left(P_{0}+P_{1}+\right.\right.$ $\left.\left.P_{2}+P_{3}\right)\right]$ vectors, on the average.
Thus, in total, the 4 IGU stores $2^{p}\left[4-\left(4 P_{0}+3 P_{1}+2 P_{2}+P_{3}\right)\right]$ vectors, on the average.

Example 7.2: Let $k=2^{20}-1$ and $p=20$. In this case, we have $\beta \simeq 1.0$. IGU1 stores $2^{20}\left(1-P_{0}\right)=662826$ vectors, on the average. IGU2 stores $2^{20}\left[1-\left(P_{0}+P_{1}\right)\right]=277076$ vectors, on the average. IGU3 stores $2^{20}\left[1-\left(P_{0}+P_{1}+P_{2}\right)\right]=$ 84201 vectors, on the average. IGU4 stores $2^{20}\left[1-\left(P_{0}+\right.\right.$ $\left.\left.P_{1}+P_{2}+P_{3}\right)\right]=19910$ vectors, on the average. When the linear transformations are independent, only 2 vectors remain, as shown in Examples 6.2.

Example 7.3: When independent linear transformations are used, the function in Fig. 7.1 can be realized with only three IGUs. In this case, IGU1 and IGU3 use $X_{1}=\left(x_{1}, x_{2}, x_{3}\right)$ as inputs to the main memory, while IGU2 uses $X_{2}=$ $\left(x_{4}, x_{5}, x_{6}\right)$ as inputs to the main memory. The registered vectors are divided into three parts, and realized separately as follows:

1) IGU1 stores one element for each non-empty column. It realizes the mapping of vectors to index values 4,11 , $2,15,5,13,19$, and 9 .
2) IGU 2 stores one element for each row. It realizes the mapping of vectors to index values $7,14,1,18,16,3$, 12 , and 8 .
3) IGU3 stores the remaining elements for four columns. It realizes the mapping of vectors to index values 20,10 , 6 , and 17 .
In this case, all the vectors can be realized by three IGUs.

## VIII. Experimental Results

## A. Realization with 4IGUs

To show the validity of the analysis, we generated 10 random index generation functions with $n=40$ and $k=2^{20}-1$, and realized them by 4IGUs, where $p=20$.

In the experiment, we used the following linear transformations: Let $\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ be the input variables. For the $i$-th IGU, $\left(y_{1}, y_{2}, \ldots, y_{p}\right)$ were used as the inputs to the main memory, where $y_{j}=x_{j} \oplus x_{p+i+j},(1 \leq j \leq p)$. Table 8.1 compares the estimated values and experimental results. The column labeled Estimated denotes the results that were obtained in Example 6.2. The column labeled Experimental shows the average of 10 sample functions.

In the estimation, the remaining vectors not realized by the 4IGU is only two, that is $k_{5}=2$. On the other hand, in the experiment, the number of the remaining vectors is 2.2 , on the average.

The reasons for the disparity may be

- The approximations in the estimation made an error.
- The linear transformations used in the experiment are not independent.

TABLE 8.1
Numbers of Vectors Realized by 4IGU $\left(k=2^{20}-1\right)$

| IGU | Estimated |  | Experiment |  |
| :---: | ---: | ---: | ---: | ---: |
|  | $k_{i}$ | Realized <br> Vectors | $k_{i}$ | Realized <br> Vectors |
| 1 | 1048575 | 662826 | 1048575.0 | 662807.5 |
| 2 | 385749 | 322750 | 385767.5 | 322781.0 |
| 3 | 62999 | 61143 | 62986.5 | 61123.4 |
| 4 | 1856 | 1854 | 1863.1 | 1860.9 |
| Remain | 2 |  | 2.2 |  |

TABLE 8.2
Average Numbers of Vectors Realized by 4IGU $\left(k=2^{20}-1\right)$

| IGU | Same <br> Transformations |  | Independent <br> Transformations |  |
| :---: | ---: | ---: | ---: | ---: |
|  | $k_{i}$ | Realized <br> Vectors | $k_{i}$ | Realized <br> Vectors |
|  | 1048575.0 | 663004.9 | 1048575.0 | 662807.5 |
| 2 | 385570.1 | 277075.9 | 385767.5 | 322781.0 |
| 3 | 108494.2 | 84134.0 | 62986.5 | 61123.4 |
| 4 | 24360.2 | 19863.3 | 1863.1 | 1860.9 |
| Remain | 4496.9 |  | 2.2 |  |

- The registered vectors in the experiment were not truly random.
- The number of sample functions were not sufficient.

In practice, we can easily find a good linear transformation using a minimization tool [12] for the last IGU. Thus, each function can be realized by a 4IGU. The total amount of memory is $m n 2^{p}=4 \times 40 \times 2^{20}=160 \times 2^{20} \simeq 167.8 \times 10^{6}$.

## B. Effect of Independent Linear Transformations

In Section VII, we showed that independent linear transformations should be used for IGUs. To demonstrate this, we used the previous 10 random index generation functions with $n=40$ and $k=2^{20}-1$, and realized them by 4IGUs, where $p=20$. Table 8.2 compares the two 4IGU realizations. In the column labeled Same, the same linear transformations are used for four IGUs. In the column labeled Independent, independent linear transformations were used for the different IGUs. The sample functions are the same as that of Table 8.1.

The effect is very clear. When the same linear transformations are used for the 4IGU, on the average, 4496.9 vectors remain, which is consistent with the estimated value 4562 in Example 7.2. On the other hand, when the independent linear transformations are used for the 4IGU, on the average, only 2.2 vectors remained which is near to the estimated value 2.0 in Example 6.2.

## IX. Conclusion and Comments

In this paper, we presented a method to implement index generation functions using multiple IGUs. Important results are

- An index generation function with many registered vectors should be realized by an mIGU rather than a single IGU.
- Most index generation function with weight $k$ can be realized by a 4IGU, where $p=\left\lceil\log _{2}(k+1)\right\rceil$.
- In an mIGU, the liner transformations should be independent.
With the result of this paper, we can estimate the size of of the IGUs necessary to implement a specified number of vectors. Since no optimization of linear transformations is assumed in the estimation, a fast optimization algorithm can be applied to the last IGU to accommodate all the remaining vectors.

In the application to the internet, the registered vectors must be updated frequently, but only a short time is available for reconfiguration. With mIGU, we can reduce the memory, as well as update the vectors without changing the linear transformations.

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